



Filing ID #10026573

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Robert J. Wittman
Status: Member
State/District: VA01

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 06/12/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|--------------------|----------------|--------|-------------------------------------|
| 401 (a) Cash Match Plan [OT] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: Commonwealth of Virginia 401 (a) Cash Match Plan | | | | | |
| 403(b) Trust Company of America ⇒ Advisors Preferred Trust The Gold Bullion [MF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Flexshares Tr Ready Access Var Inc Fd [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Core S&P 500 [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Iboxx \$ Investment Grade Co [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Inc MSCI Global Silver Miners ETF [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares MSCI ACWI EX US ETF [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|---|-------|----------------------|-----------------------------|---------------------|-------------------------------------|
| Ishares Msci Eafe Value [EF] | | | | | |
| 403(b) Trust Company of America ⇒ Ishares North American Natural Res [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Residential Real Estate Capped [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Tr Russell 2000 Value [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Schwab Strategic Tr US REIT [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Vanguard Dividend App'n Vipers [MF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Vanguard High Dividend [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Vanguard Index Fds S&P 500 [EF] | SP | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| 457 Deferred Compensation Plan [OT] | | \$50,001 - \$100,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: Commonwealth of Virginia 457 Deferred Compensation Plan 25% Asset Allocation Funds 75% Stock Funds | | | | | |
| AB Global Bond Adv [MF] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| AbbVie Inc. (ABBV) [ST] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Accenture plc Class A Ordinary Shares (ACN) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Aetna Inc. (AET) [ST] | | None | Dividends | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| COMMENTS: Redeemed 11/29/18 in the CVS Health Corp acquisition of Aetna, Inc | | | | | |
| Allergan plc Ordinary Shares (AGN) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| AMG Timessquare Intl Sm Cap [MF] | | None | None | | <input checked="" type="checkbox"/> |

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| Anthem, Inc. (ANTM) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Apple Inc. (AAPL) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| AssetMark Trust Co ⇒ AssetMark Trust Co [PE] | SP | \$15,001 - \$50,000 | IRA Distribution | \$1,001 - \$2,500 | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Calvert Absolute Return Bond A [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Floating Rate A [MF] | SP | None | None | | <input checked="" type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Focused Growth Opp A [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Focused Value Opp A [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Gbl Macro Abs Ret Adv A [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Invest Grade Income A [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Multi Asset Credit A [MF] | SP | None | None | | <input checked="" type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Multi Strat Absolute Ret A [MF] | SP | None | None | | <input checked="" type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Multi Strategy All A [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Short Duration Govt Inc A [MF] | SP | \$1 - \$1,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| AssetMark Trust Co ⇒ EV Short Dur Infl Protected Income A [MF] | SP | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Money market [MF] | SP | \$1 - \$1,000 | IRA Distribution | \$1,001 - \$2,500 | <input type="checkbox"/> |
| AT&T Inc. (T) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

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|--|-------|-----------------------|--------------------------|-----------------|-------------------------------------|
| | | | | | |
| AT&T Inc. (T) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: value under \$1,000 in 2017 | | | | | |
| AXA Equitable Life Ins Co ⇒ AXA/Legg Mason Strat Alloc GB [MF] | SP | \$100,001 - \$250,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Bank of Lancaster Money Market Account [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Bay Banks Of Virginia Inc (BAYK) [ST] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Becton, Dickinson and Company (BDX) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| BlackRock, Inc. (BLK) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Brandes Intl Small Cap Eq I [MF] | | None | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Chevron Corporation (CVX) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Chevron Corporation (CVX) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Chubb Limited (CB) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Cisco Systems, Inc. (CSCO) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| CME Group Inc. - Class A (CME) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Colgate-Palmolive Company (CL) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Comcast Corporation - Class A (CMCSA) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| CVS Health Corporation (CVS) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| CyrusOne Inc (CONE) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| D/B/A Chubb Limited New (CB) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

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| Dominion Energy Corporation [MF] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Duke Energy Corporation (DUK) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Federated Fund U.S. Government Securities [GS] | | \$1,001 - \$15,000 | Interest | \$201 - \$1,000 | <input type="checkbox"/> |
| Fidelity National Information Services, Inc. (FIS) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| First Eagle Overseas I [MF] | | None | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Hartford Financial Services Group, Inc. (HIG) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Honeywell International Inc. (HON) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Ingersoll-Rand plc (IR) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Interpublic Group of Companies, Inc. (IPG) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| DESCRIPTION: Purchases stock several times during 2017 each purchase under \$1,000 | | | | | |
| Invesco Senior Income Trust Common Stock (VVR) [ST] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Ishares Edge MSCI MIN VOL EAFE [MF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Ishares MSCI Eafe Cap ETF [MF] | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| Ishares Russell 2000 Value ETF [MF] | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| Ishares S&P US PFD Stk Idx Fd [MF] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Ishares S&P US PFD Stk Idx Fd [MF] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| ITT Corporation Common Stock New (ITT) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

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| Johnson & Johnson (JNJ) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| JP Morgan Chase & Co. (JPM) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Linde plc Ordinary Share (LIN) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Lockheed Martin Corporation (LMT) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Lowe's Companies, Inc. (LOW) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Marriott International - Class A (MAR) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Marsh & McLennan Companies, Inc. (MMC) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Medtronic plc. Ordinary Shares (MDT) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Met Life (Universal Life Insurance) [WU] | SP | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Met Life (Universal Life Insurance) [WU] | | \$1,001 - \$15,000 | None | | <input type="checkbox"/> |
| Met Life Inc. 6.5% Series B [WU] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Metropolitan West Tot Ret Bd I [MF] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Microsoft Corporation (MSFT) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Mondelez International, Inc. - Class A (MDLZ) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Monsanto Company (MON) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Morgan Stanley ⇒ Morgan Stanley Bank Money Market [BA] | | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Blackrock Strategic Inc Opp I [MF] | | None | None | | <input checked="" type="checkbox"/> |

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| Morgan Stanley INH IRA ⇒ First Trust Senior Loan Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ First Trust Utilts Alphadex ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Flexshares Qualt Div Idx ETF [MF] | | None | Capital Gains | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Global X MSCI Norway ETF [MF] | | None | Capital Gains | None | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Global X US Inf Dev ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Goldman ActiveBeta US LC ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Guggenheim Macro Opport I [MF] | | None | None | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Health Care Sel Sect Spdr Fd [MF] | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Invesco Aerospace & Defense EFT [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Invesco FTSE RAFI Emerging [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Invesco QQQ TR [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Invesco S&P 500 Equal Weight ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares 10-20 yr Treasu Bd ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares 20+yr Treasu Bond ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares Core MSCI Eafe ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

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| Morgan Stanley INH IRA ⇒ Ishares Core MSCI Emerging [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares Intl Select Div ETF [MF] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MCSI Eafe Val ETF [MF] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Asia Ex Japan ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Australia ETF [MF] | | \$1 - \$1,000 | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Canada ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Eafe ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Eafe Grwth ETF (EFG) [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI EAFE Val ETF [MF] | | None | None | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Italy ETF [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares SP Smallcap 600 Index [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares US Consumer Goods ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares US Fin Services ETF [MF] | | None | None | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares US Medical Dev ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares US Technology ETF [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

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| Morgan Stanley INH IRA ⇒ JP Morgan Betabuilders Japan ET [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Pimco Inv Grade Corp Bd [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ S&P 500 Index Fund [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ SPDR S&P Capital Markets [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ SPDR Blackstone GSO Sen Loan [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ SPDR Morgan Stanley Technology (MTK) [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ SPDR S&P Transn Cap Mkts ETF [MF] | | None | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ The Financial Sel Sect SPDR FD [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ US Energy ETF [MF] | | None | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Vanguard Info Tech ETF [MF] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Vanguard Intermediate Term Gov [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Vanguard Total Bond Market [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ WisdomTree Europe Hedged Equity Fund (HEDJ) [ST] | | None | Capital Gains | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Wisdomtree Tr Intl Hdg Qtly Div [MF] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Wisdomtree US Largecap Div Fnd [MF] | | \$1,001 - \$15,000 | Tax-Deferred | | <input checked="" type="checkbox"/> |

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| Morgan Stanley INH IRA ⇒ Wisdontree Japan Sm Cap Div [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$1,001 - \$2,500 | <input checked="" type="checkbox"/> |
| National Western Life Annuity [OT] | SP | \$15,001 - \$50,000 | Tax-Deferred | | <input type="checkbox"/> |
| DESCRIPTION: This is a 403b TSA | | | | | |
| Nationwide Geneva Smcp Gw F [MF] | | \$1,001 - \$15,000 | Capital Gains | \$1 - \$200 | <input type="checkbox"/> |
| Neuberger Intrinsic Value Inst [MF] | | None | Capital Gains, Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| NextEra Energy, Inc. (NEE) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Nielsen N.V. Ordinary Shares (NLSN) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Packaging Corporation of America (PKG) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Peoples Bankshares, Inc [PS] | SP | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| DESCRIPTION: Peoples Community Bank Stock | | | | | |
| Peoples Community Bank Accounts [BA] | JT | \$15,001 - \$50,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| People's United Financial, Inc. (PBCT) [ST] | | \$15,001 - \$50,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| PEPCO Holdings, Inc (POM) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Pepsico, Inc. (PEP) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Pfizer, Inc. (PFE) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Philip Morris International Inc (PM) [ST] | | None | None | | <input checked="" type="checkbox"/> |
| Phillips 66 (PSX) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Piedmont Office Realty Trust, Inc. Class A (PDM) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |

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|---|-------|-------------------------|--------------------------|--------------------|-------------------------------------|
| Praxair, Inc. (PX) [ST] | | None | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Principal Midcap Instl [MF] | | \$1,001 - \$15,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Principal Preferred Sec Inst [MF] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Putnam Short Duration Income A [MF] | | \$15,001 - \$50,000 | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Realty Income Corporation (O) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Rental House - Nags Head, NC [RP] | JT | \$500,001 - \$1,000,000 | None | | <input checked="" type="checkbox"/> |
| LOCATION: Nags Head, NC, US | | | | | |
| Rental Property [RP] | JT | None | Rent | \$5,001 - \$15,000 | <input checked="" type="checkbox"/> |
| LOCATION: Westmoreland County, VA, US DESCRIPTION: Located in Montross, Westmoreland County, VA. | | | | | |
| Rental Property (50% ownership) [RP] | SP | \$50,001 - \$100,000 | Rent | \$2,501 - \$5,000 | <input type="checkbox"/> |
| LOCATION: Montross, VA, US | | | | | |
| Schlumberger N.V. (SLB) [ST] | | None | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| SPDR BBG Bar Intl Treasury Bnd [MF] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| SPDR S&P 500 ETF Trust [MF] | | \$1,001 - \$15,000 | None | | <input checked="" type="checkbox"/> |
| SunTrust Bank Money Market Account [BA] | | \$1,001 - \$15,000 | Interest | \$1 - \$200 | <input type="checkbox"/> |
| Texas Instruments Incorporated (TXN) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Union Pacific Corporation (UNP) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| UnitedHealth Group Incorporated Common Stock (UNH) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

| Asset | Owner | Value of Asset | Income Type(s) | Income | Tx. > \$1,000? |
|--|-------|-----------------------|--------------------------|-----------------|-------------------------------------|
| Vanguard FTSE Developed Markets EFT [MF] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Vanguard FTSE Emerging Markets [MF] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Vanguard Short Term Bnd [MF] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Vanguard Total Bond Market [MF] | | \$15,001 - \$50,000 | None | | <input checked="" type="checkbox"/> |
| Verizon Communications Inc. (VZ) [ST] | SP | \$1,001 - \$15,000 | Dividends | \$201 - \$1,000 | <input type="checkbox"/> |
| Virginia Retirement System [PE] | | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Virginia Retirement System [PE] | SP | \$100,001 - \$250,000 | None | | <input type="checkbox"/> |
| Virtus Vontobel Emrg Mks Opp i [MF] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Virtus Vontobel Foreign Opp [MF] | | None | Capital Gains, Dividends | \$201 - \$1,000 | <input checked="" type="checkbox"/> |
| Walt Disney Company (DIS) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| WEC Energy Group, Inc. (WEC) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Wells Fargo & Company (WFC) [ST] | | None | Dividends | \$1 - \$200 | <input checked="" type="checkbox"/> |
| Whirlpool Corporation (WHR) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |
| Xylem Inc. Common Stock New (XYL) [ST] | | \$1,001 - \$15,000 | Dividends | \$1 - \$200 | <input type="checkbox"/> |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------|---------------------|--------------------------|
| 403(b) Trust Company of America ⇒ Advisors Preferred Trust The Gold Bullion [MF] | SP | 03/13/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Flexshares Tr Ready Access Var Inc Fd [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Core S&P 500 [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Iboxx \$ Investment Grade Co [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Inc MSCI Global Silver Miners ETF [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares MSCI ACWI EX US ETF [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares MSCI Eafe Value [MF] | SP | 03/15/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares North American Natural Res [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Residential Real Estate Capped [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Ishares Tr Russell 2000 Value [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Schwab Strategic Tr US REIT [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Vanguard Dividend App'n Vipers [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Vanguard High Dividend [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| 403(b) Trust Company of America ⇒ Vanguard Index Fds S&P roo [MF] | SP | 03/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| AB Global Bond Adv [MF] | | 12/19/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|-----------------------|-------------------------------------|
| AbbVie Inc. (ABBV) [ST] | | 04/6/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Aetna Inc. (AET) [ST] | | 11/29/2018 | E | \$15,001 - \$50,000 | |
| DESCRIPTION: Redemption | | | | | |
| Aetna Inc. (AET) [ST] | | 11/29/2018 | S | \$15,001 - \$50,000 | <input checked="" type="checkbox"/> |
| AMG Timessquare Intl Sm Cap [MF] | | 02/12/2018 | P | \$1,001 - \$15,000 | |
| AMG Timessquare Intl Sm Cap [MF] | | 10/30/2018 | P | \$1,001 - \$15,000 | |
| AMG Timessquare Intl Sm Cap [MF] | | 12/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Apple Inc. (AAPL) [ST] | | 12/31/2018 | P | \$1,001 - \$15,000 | |
| AssetMark Trust Co ⇒ Calvert Absolute Return Bond A [MF] | SP | 07/10/2018 | P | \$1,001 - \$15,000 | |
| AssetMark Trust Co ⇒ Eaton Vance Floating Rate A [MF] | SP | 08/8/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Invest Grade Income A [IR] | SP | 07/10/2018 | P | \$1,001 - \$15,000 | |
| AssetMark Trust Co ⇒ Eaton Vance Multi Asset Credit A [MF] | SP | 07/10/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Multi Strat Absolute Ret A [IR] | SP | 07/10/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| AssetMark Trust Co ⇒ Eaton Vance Short Duration Strat Inc A [IR] | SP | 07/10/2018 | P | \$1,001 - \$15,000 | |
| AXA Equitable Life Ins Co ⇒ AXA/Legg Mason Strat Alloc GB [MF] | SP | 04/11/2018 | P | \$100,001 - \$250,000 | |
| Brandes Intl Small Cap Eq i [MF] | | 02/12/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| First Eagle Overseas I [MF] | | 12/19/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------------|---------------------|-------------------------------------|
| Invesco Senior Income Trust Common Stock (VVR) [ST] | | 12/20/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Ishares MSCI Eafe Cap ETF [MF] | | 12/19/2018 | P | \$1,001 - \$15,000 | |
| Ishares Russell 2000 Value ETF [MF] | | 12/19/2018 | P | \$1,001 - \$15,000 | |
| Ishares Russell 2000 Value ETF [MF] | | 12/31/2018 | P | \$1,001 - \$15,000 | |
| Ishares S&P US PFD Stk Idx Fd [MF] | | 12/19/2018 | P | \$15,001 - \$50,000 | |
| Ishares S&P US PFD Stk Idx Fd [MF] | | 12/19/2018 | P | \$15,001 - \$50,000 | |
| Metropolitan West Tot Ret Bd l [MF] | | 12/19/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Morgan Stanley ⇒ Aetna Inc. (AET) [ST] | | 11/29/2018 | E | \$15,001 - \$50,000 | |
| DESCRIPTION: Redeemed in the CVS Health Corp. acquisition of Aetna. Inc. | | | | | |
| Morgan Stanley ⇒ Apple Inc. (AAPL) [ST] | | 09/11/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley ⇒ Apple Inc. (AAPL) [ST] | | 12/31/2018 | P | \$15,001 - \$50,000 | |
| Morgan Stanley ⇒ CVS Health Corporation (CVS) [ST] | | 11/29/2018 | E | \$1,001 - \$15,000 | |
| DESCRIPTION: Received in the CVS Health Corp. acquisition of Aetna, Inc. | | | | | |
| Morgan Stanley ⇒ CVS Health Corporation (CVS) [ST] | | 12/19/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley ⇒ Linde plc Ordinary Share (LIN) [ST] | | 10/31/2018 | E | \$1,001 - \$15,000 | |
| DESCRIPTION: Exchanged with Praxair Inc | | | | | |
| Morgan Stanley ⇒ Philip Morris International Inc (PM) [ST] | | 12/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley ⇒ Praxair, Inc. (PX) [ST] | | 10/31/2018 | E | \$1,001 - \$15,000 | |
| DESCRIPTION: Exchanged for Linde PLC | | | | | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|-------------|--------------------|-------------------------------------|
| Morgan Stanley INH IRA ⇒ Blackrock Strategic Inc Opp I [MF] | | 12/18/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ First Trust Senior Loan Fund [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Flexshares Qualt Divd Idx ETF [MF] | | 03/22/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Global X Msci Norway ETF [IR] | | 11/14/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Global X US Inf Dev ETF [IR] | | 11/14/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Goldman Activebeta US Lc ETF [MF] | | 03/22/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Goldman Activebeta US LC ETF [MF] | | 06/27/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Guggenheim Macro Opport I [MF] | | 12/18/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Health Care Sel Sect Spdr Fd [IR] | | 03/15/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Invesco S&P 500 Equal Weight ETF [IR] | | 11/21/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares 20+yr Treasu Bond ETF [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares Core MSCI Eafe EF [IR] | | 06/29/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares Core MSCI Eafe ETF [MF] | | 06/27/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares Core Msci Emerging [IR] | | 08/16/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ | | 12/18/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|--------------------|--------------------------|
| Ishares Core MSCI Emerging [MF] | | | (partial) | | |
| Morgan Stanley INH IRA ⇒ Ishares Core MSCI Emerging [MF] | | 03/22/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares Core MSCI Emerging [IR] | | 05/30/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares Intl Select Div ETF [MF] | | 06/5/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MCSI Eafe Val ETF [MF] | | 06/5/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Eafe Grwth ETF [MF] | | 12/18/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Eafe Val ETF [MF] | | 12/18/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Italy EF [IR] | | 06/5/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares Msci Italy ETF [IR] | | 10/10/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares MSCI Italy ETF [MF] | | 06/5/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares SP Small Cap 600 Index [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares US Consumer Goods ETF [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares US Fin Services ETF [MF] | | 12/18/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Ishares US Medical Dev ETF [IR] | | 11/14/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Ishares US Technology ETF [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|---|-------|------------|----------------|--------------------|-------------------------------------|
| Morgan Stanley INH IRA ⇒ Isharwes 10-20 yr Treasu Bd ETF [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ JP Morgan Betabuilders Japan ET [MF] | | 06/7/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ JP Morgan Beteabuilders Japan ET [IR] | | 10/10/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Pimco Inv Grade Corp Bd [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ S&P 500 Index Fund [IR] | | 08/16/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ S&P 500 Index Fund [IR] | | 11/14/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ SPDR Blackstone GSO Sen Loan [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ SPDR Morgan Stanley Technology ETF [MF] | | 12/18/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ SPDR S&P Capital Markets [MF] | | 12/18/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ US Energy ETF [MF] | | 02/14/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Vanguard Info Tech ETF [MF] | | 12/18/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Vanguard Intermediate Term Gov [MF] | | 12/18/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Vanguard Total Bond Market [MF] | | 07/17/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Wisdomtree Europe Hedged Equit [IR] | | 05/30/2018 | S (partial) | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Wisdomtree Europe Hedged Equit [IR] | | 11/16/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|-------------|-------------------------|-------------------------------------|
| Morgan Stanley INH IRA ⇒ Wisdomtree Japan SM Cap Div [MF] | | 06/5/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Wisdomtree Tr Intl Hdg Qtly Div [IR] | | 06/27/2018 | S | \$1,001 - \$15,000 | <input checked="" type="checkbox"/> |
| Morgan Stanley INH IRA ⇒ Wisdomtree Japan Sm Cap Div [MF] | | 06/7/2018 | P | \$1,001 - \$15,000 | |
| Morgan Stanley INH IRA ⇒ Wisdomtree US Largecap Div Fnd [MF] | | 06/7/2018 | P | \$1,001 - \$15,000 | |
| Newberger Intrinsic Value Inst [MF] | | 12/19/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Philip Morris International Inc (PM) [ST] | | 04/9/2018 | P | \$1,001 - \$15,000 | |
| Principal Preferred Sec Inst [MF] | | 12/19/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Purnam Short Duration Income A [MF] | | 01/29/2018 | P | \$15,001 - \$50,000 | |
| Putnam Short Duration Income A [MF] | | 10/23/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Rental home - Nags Head, NC [RP] | JT | 11/1/2018 | P | \$500,001 - \$1,000,000 | |
| LOCATION: Nags Head, SC, US | | | | | |
| Schwab INH IRA ⇒ Goldman Activebeta US LC ETF [MF] | | 12/18/2018 | S (partial) | \$1,001 - \$15,000 | <input type="checkbox"/> |
| SPDR BBG Bar Intl Treasury Bnd [MF] | | 12/19/2018 | P | \$15,001 - \$50,000 | |
| SPDR S&P 500 ETF Trust [MF] | | 12/19/2018 | P | \$1,001 - \$15,000 | |
| Vanguard FTSE Developed Markets EFT [MF] | | 12/19/2018 | P | \$15,001 - \$50,000 | |
| Vanguard FTSE Developed Markets EFT [MF] | | 12/19/2018 | P | \$15,001 - \$50,000 | |
| Vanguard FTSE Developed Mkts EFT [MF] | | 12/31/2018 | P | \$1,001 - \$15,000 | |

| Asset | Owner | Date | Tx. Type | Amount | Cap. Gains > \$200? |
|--|-------|------------|----------|-------------------------|-------------------------------------|
| Vanguard FTSE Emerging Markets [MF] | | 12/19/2018 | P | \$15,001 - \$50,000 | |
| Vanguard Short Term Bnd [MF] | | 12/21/2018 | P | \$15,001 - \$50,000 | |
| Vanguard Total Bond Market [MF] | | 12/19/2018 | P | \$15,001 - \$50,000 | |
| Virtus Vontobel Emrg Mks Opp i [MF] | | 10/30/2018 | P | \$1,001 - \$15,000 | |
| Virtus Vontobel Emrg Mks Opp i [MF] | | 12/19/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Virtus Vontobel Foreign Opp [MF] | | 12/19/2018 | S | \$15,001 - \$50,000 | <input type="checkbox"/> |
| Wells Fargo & Company (WFC) [ST] | | 10/24/2018 | S | \$1,001 - \$15,000 | <input type="checkbox"/> |
| Westmoreland County, VA [RP] | JT | 11/1/2018 | S | \$500,001 - \$1,000,000 | <input checked="" type="checkbox"/> |
| LOCATION: Montreoss, Westmoreland Co, VA, US | | | | | |

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

| Source | Type | Amount |
|------------------------------------|------------------|------------|
| Westmoreland County Public Schools | Spouse salary | N/A |
| Morgan Stanley | IRA Distribution | \$6,524.00 |

SCHEDULE D: LIABILITIES

| Owner | Creditor | Date Incurred | Type | Amount of Liability |
|-------|-----------------------------|---------------|--|-------------------------|
| JT | Bank of Lancaster, Virginia | Feb 2012 | Mortgage on residence | \$100,001 - \$250,000 |
| JT | Bank of Lancaster, Virginia | May 2012 | Loan on property, tax map 33-27 and 42-1 | \$250,001 - \$500,000 |
| JT | Virginia Commonwealth Bank | November 2018 | Real property at Nags Head, NC | \$500,001 - \$1,000,000 |

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

| Date | Parties To | Terms of Agreement |
|-------------|----------------------------|--------------------|
| August 1981 | Virginia Retirement System | Defined Benefit |

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

- 403(b) Trust Company of America (Owner: SP)
- AssetMark Trust Co (Owner: SP)
- AXA Equitable Life Ins Co (Owner: SP)
- Morgan Stanley
- Morgan Stanley INH IRA
- Schwab INH IRA

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Robert J. Wittman , 06/12/2019